

KATHY HOCHUL Governor

ROBERT L. MEGNA Chair

FRANK G. HOARE, ESQ. Executive Director

## **INVITATION FOR BID**

**MGE Inverter Replacement** 

IFB No: 24062-910.52 Issue Date: August 14, 2025

Bid Due Date: September 15, 2025

## **IFB Table of Contents**

ARTICLE I - Background/Administrative Matters	5
Section 1.1 – Background	5
Section 1.2 – Key Dates	5
Section 1.3 – Permissible Contacts/Contact Person	6
Section 1.4 – Pre-Bid Meeting	6
Section 1.5 - Written Questions & Responses	6
Section 1.6 – IFB Errors or Omissions	6
ARTICLE II – Detailed Specification	7
Section 2.1 – Scope of Services	7
Section 2.2 – Bidder Qualifications	7
Section 2.3 – Specifics	7
Section 2.4 – Quality Assurance	8
Section 2.6 – Delivery	10
Section 2.7 – Packaging (Intentionally Omitted)	10
Section 2.8 – Training (Intentionally Omitted)	10
Section 2.9 – Submittals	10
ARTICLE III - Contract Specific	11
Section 3.1 – Quantity	11
Section 3.2 – Price	11
Section 3.3 – Price Adjustments	11
Section 3.4 – Contract Term	11
Section 3.5 – Method of Award	11
Section 3.6 – Additional Procurement Rights	11
Section 3.7 – Liquidated Damages (Intentionally Omitted)	13
Section 3.8 – Payment	13
Section 3.9 – Electronic Payment	13
ARTICLE IV – Standard Clauses	13
Section 4.1 – Insurance	13
Section 4.2 – Unanticipated and Emergency Requirements	16
Section 4.3 – Safety	16
Section 4.4 – Work Schedules (Intentionally Omitted)	16
Section 4.5 – Operation (Intentionally Omitted)	16
Section 4.6 – Licensing Forms (Intentionally Omitted)	16
Section 4.7 – Work Permits (Intentionally Omitted)	16
Section 4.8 – Labor and Wage Requirements (Intentionally Omitted)	16

Section 4.9 – Copyright (Intentionally Omitted)	16
Section 4.10 – Technical Information	16
Section 4.11 – Thruway Travel	16
Section 4.12 – Toxic and Hazardous Substances (Intentionally Omitted)	16
Section 4.13 – Steel Sourcing (Intentionally Omitted)	16
Section 4.14 – Compliance Requirements and Procedures	17
Section 4.15 – Participation Opportunities for New York State Certified Minority/Women/Service-Disabled Veteran-Owned Business Enterprises	
Section 4.16 – Equal Employment Opportunity and Removal of Institutional Policies or Practices That Fa Address the Harassment and Discrimination of Individuals	
ARTICLE V – General Information	21
Section 5.1 – Liability	21
Section 5.2 – Independent Contractor	21
Section 5.3 – Supplemental Agreements	21
Section 5.4 – General Specifications and Appendix A	21
Section 5.5 – Bidder Responsibility	21
Section 5.6 – New York State Tax Law	22
Section 5.7 – Debriefing	22
Section 5.8 – Grievance Policy	22
Section 5.9 – Business Permits	22
Section 5.10 – New York State Finance Law 139-J and 139-K Certifications	23
Section 5.11 – Iran Divestment Certification	23
Section 5.12 – New York State Finance Law §139-I	23
Section 5.13 – New York State Human Rights Law, Article 15 of the Executive Law	23
Section 5.14 – Termination of Contract	24
Section 5.15 – Cancellation for Convenience by the Authority	24

#### **ATTACHMENTS:**

#### **GENERAL SPECIFICATION**

Standard Clauses APPENDIX A Thruway Authority Guidelines Regarding Permissible Contacts During a Procurement **EXHIBIT 1** and the Prohibition of Inappropriate Lobbying Influence **EXHIBIT 2** Authority Supplemental Insurance Certificate (TA-W51343) New York State Finance Law §§ 139-j and 139-k Disclosure of Prior Non-**ATTACHMENT 1** Responsibility Determinations (TA-W3053) Certificate of Compliance with the Authority Guidelines Regarding Permissible **ATTACHMENT 2** Contacts During a Procurement and the Prohibition of Inappropriate Lobbying Influence (TA-W2111) Certification Under Executive Order No. 16 Prohibiting State Agencies and Authorities **ATTACHMENT 3** from Contracting with Businesses Conducting Business in Russia **ATTACHMENT 4** Bid Submission Package

## **ARTICLE I - Background/Administrative Matters**

## Section 1.1 – Background

The New York State Thruway Authority ("Authority") is seeking bids from contracting firms to Replace MGE Inverter at P1203 State Police Building.

The Authority is a public corporation organized and existing pursuant to Article 2, Title 9 of the New York State Public Authorities Law for the purpose of financing, constructing, reconstructing, improving, developing, maintaining and operating a highway system known as the Governor Thomas E. Dewey Thruway. The powers of the Authority are vested in and exercised by a seven-member Board appointed by the Governor with the advice and consent of the State Senate.

The Thruway is a 570-mile superhighway system crossing the State. It is the longest toll superhighway system in the United States. The Thruway route from the New York City line to the Pennsylvania line at Ripley is 496 miles long and includes the 426-mile mainline connecting New York City and Buffalo, the State's two largest cities. Other Thruway sections make direct connections with the Connecticut and Massachusetts Turnpikes, New Jersey Garden State Parkway and other major expressways that lead to New England, Canada, the Midwest and the South. In 1991 the Cross-Westchester Expressway was added to the Thruway system. In all, the Thruway is comprised of 2843 lane miles of roadway, 817 bridges, over 300 buildings, 134 interchanges, 35 tandem areas, 27 service areas, 3 welcome centers, nearly 120 water service facilities, 3 water treatment plants, 16 wastewater treatment plants and 40 motor fueling stations for Authority vehicles and equipment. Operationally, the Authority is segmented into four regional divisions – New York, Albany, Syracuse and Buffalo – with the Administrative Headquarters located in Albany.

For the purposes of this Invitation for Bid ("IFB"), the term "Authority" shall mean the New York State Thruway Authority.

## Section 1.2 - Key Dates

Provided below is a tentative schedule for the milestones in this IFB process, listed in the order of occurrence. The Authority reserves the right to change any or all of these dates as it deems necessary or convenient in its discretion; in the event of such a date change, all parties that have been furnished with this IFB will be duly notified.

Event	<u>Date</u>
IFB Issuance	August 14, 2025
Pre-Bid Meeting Registration Deadline	August 20, 2025
Pre-Bid Meeting	August 21, 2025 at 1:00pm
Deadline for submitting Written Questions	August 29, 2025
Issuance of Responses to Written Questions	September 2, 2025
Bid Due Date <sup>1</sup>	September 15, 2025 close of business
Live Bid Opening (via YouTube) <sup>2</sup>	September 16, 2025 at 1:00p.m.

<sup>&</sup>lt;sup>1</sup> Bids MUST be received by the Authority prior to the Bid Due Date.

NYS Thruway Authority - YouTube

<sup>&</sup>lt;sup>2</sup> Bid Opening will be Live Streamed via the Authority YouTube channel:

#### Section 1.3 – Permissible Contacts/Contact Person

This procurement is subject to and shall be conducted in accordance with the Thruway Authority Guidelines Regarding Permissible Contacts During a Procurement and the Prohibition of Inappropriate Lobbying Influence (attached hereto as Exhibit 1). All questions concerning this IFB must be addressed to the persons listed below. Bidders and prospective Bidders may not approach any other Authority officer, employee, contractor or agent or any other State entity relative to this IFB (except as provided in Exhibit 1).

David Coffey
Contract Management Specialist 1
New York State Thruway Authority
200 Southern Boulevard
Albany, New York 12209
David.Coffey@thruway.ny.gov

Danielle Adams
Director, Bureau of Compliance
New York State Thruway Authority
200 Southern Boulevard
Albany, New York 12209

In the event the contact persons listed above are not available, Bidders may direct their questions to Jason Silvano at <a href="mailto:jason.silvano@thruway.ny.gov">jason.silvano@thruway.ny.gov</a>, Caitlin Cady at <a href="mailto:Cady@thruway.ny.gov">Caitlin Cady@thruway.ny.gov</a> or Andrew Trombley@thruway.ny.gov

### Section 1.4 – Pre-Bid Meeting

A pre-bid meeting for bidders will be held as follows:

Date: August 21, 2025

Time: 1:00 pm

Location: NY State Police, Troop T

1311 Rte. 300

Newburgh NY, 12550

Although the pre-bid meeting is not mandatory, all prospective bidders are **strongly encouraged** to attend. Attendees must pre-register with the Authority's Contract Management Specialist named in Section 1.3 by email at least three business days prior to the pre-bid meeting.

Written Notice to Bidders advising of questions and responses as a result of the pre-bid meeting will be provided to potential bidders. Questions and responses that result in a material change to the bid will result in the issuance of an Addendum.

#### Section 1.5 - Written Questions & Responses

The Authority will provide official written responses to all written questions that are submitted to the Authority Contract Management Specialist named in Section 1.3 on or before the date set forth in Section 1.2 of this IFB. These official responses will be distributed to all parties that have been furnished with this IFB. Prospective Proposers should rely only on these official written responses. Questions submitted after the due date set forth in Section 1.2 of this IFB may not receive an official answer.

#### **Section 1.6 – IFB Errors or Omissions**

If a Bidder believes there is any ambiguity, conflict, discrepancy, omission or other error in this IFB, such Bidder should immediately notify the Authority Contract Management Specialist named in Section 1.3 of such error and request clarification of or modification to this document. Such notice shall be given prior to the final filing date for submission of bids. Modifications to this IFB, when appropriate, will be made by addenda hereto and distributed to all parties who have been furnished with this IFB. Clarifications of this IFB, when appropriate, will be made by written notice to all parties who have been furnished with this IFB.

## **ARTICLE II – Detailed Specification**

#### Section 2.1 - Scope of Services

It is the intent of this IFB to replace MGE Inverter in ground floor electrical room of State Police Building P1203. MGE Inverter to be Dual-Lite Hubbell Trident 3 phase UL 924 & 1778 inverter system, 27kW, 208Y/120 vac and OP with distribution.

#### Section 2.2 - Bidder Qualifications

No bid will be considered or award made unless the firm or representative submitting the bid can prove to the Authority's satisfaction that it meets the following conditions:

- Employs on a full-time basis, personnel thoroughly experienced in the performance of the type of work specified.
- Has satisfactorily completed contracts equal to the nature and scope of services for at least three years (unless the bidder's performance record indicates a lesser time requirement as determined by the Director of Purchasing).
- Has in operation or represents a plant adequate for and devoted to the production of material which it proposes to furnish.
- Has on hand at all times, substantial supplies of (product) and adequate storage and loading facilities and labor, trucks and related equipment for maintaining and furnishing (product) to meet Authority requirements.
- Has supplied the Authority with the Vendor Certified Product Data Sheet filled out in its entirety.
- Has a local representative who can be immediately contacted and can respond within 24 hours to resolve problems that may arise during this contract.
- Holds permits or licensing as required in the Detailed Specification.
- Has in operation or is an authorized representative of a factory adequate for and devoted to the type of work described in this specification.
- A bid from a representative may require a certificate executed by the manufacturer stating that the said bidder is authorized to supply the merchandise offered with the guaranteed delivery time as stated in the bid.

#### Section 2.3 - Specifics

The product detailed specifications below should be interpreted as follows:

- 1. A stand-alone number indicates that this parameter is absolute.
- 2. A stated range (i.e. 10 20) is absolute.
- 3. The words minimum or maximum indicate an absolute requirement.
- 4. A ± sign after the number indicates a leeway of 10% either side.

#### ITEM 1:

Hubbell Trident TRF-208-4-030·208-HX01 UI 924 System, 27kW, 208Y/120V IP and OP w/Distribution

- Hubbell Trident TRF PLT UL924 Listed Emergency Lighting System, rated at 27kW/30kVA, composed
  of UPS with battery system sized for 90 minutes of backup, at full load.
- Input: 208Y/120V, 4W + Gnd or 3W + Gnd,
- 3 Phase: Voltage Range: +15%/-20%,
- Frequency: 50/60 +/-5.0 Hz, 0.9 power factor with AFC technology,
- Input Frequency: 50/60 +/-5.0 Hz, 60Hz +/- 0.01 Hz Free Running
- Output: 208Y/120V; 3-Phase, same as input,
- Static Voltage Regulation: +/- 2%.
- Bypass Input Frequency Tracking Range: +/- 5Hz.
- Input Current THO:< 1%@ 100% load, < 2%@ 50% load and< 5%@ 10% load.</li>
- Voltage THD: <1% Linear Loads, <2% with crest factor 2.5 to 1.</li>
- Inverter Overload: 125% for 10 minutes, 150% for 60 seconds,
- Bypass Overload: 400% for 10 seconds, 1000% for 1/2 line cycle.

Input, Output and Bypass Switches, Internal Bypass, Rectifier/Charger, Transistorized IGBT PWM inverter, Static Bypass Switch (continuous duty), Emergency Power Off (EPO) push button. Operator Control Panel (three parts) Mimic, LCD and Keypad display including menu driven logic in conjunction with panel mounted switches, Microprocessor controller metering and alarms, Inverter Start/ Stop switches, RS-232 Interface Port, (5) sets of form-C dry output contacts, MODBUS and UL1778 Listed.

Options: Distribution side car containing (3) 40A output circuit breakers.

System consists of 3 cabinets.

- UPS: Model Number TRF-030T-0 w/ side car
- Battery(s): Model Number FLP-BAT-200-125-1 -CS, Qtv. (1)
- Model Number FLP-BAT-200-125·2-CSSS, Qty. (1) Total System Dimensions (each): 78.74" H x 107.1" W x 40.45" D; [2,000.0 mm H x 2,720.4 mm W x1,027.5 mm

Total System Weight (each): 6,179 lbs.; 2,802.8 kg Inside

### Section 2.4 - Quality Assurance

a. Product suitability and liability:

Deviation from specifications may result in rejection of any delivery. All costs associated with rejected deliveries will be the responsibility of the contractor. Should a product be found to be contaminated with non-specified elements, and become cause for environmental concerns, that necessitate clean-up of yards, storage facilities, or roadsides, etc., the contractor shall be responsible for any, and all expenses incurred.

#### b. Certification:

An independent certified analysis showing compliance with all the requirements must be submitted with the bid.

Deviation from any of the specifications may result in the rejection of the entire delivery load or loads (if from the same source) at the discretion of the Authority. All costs associated with rejected deliveries shall be borne by the contractor.

### c. Inspections:

The Authority may conduct inspections of the contractor's work at any time during the life of this contract. In addition, a joint inspection by the Authority and the contractor's representative may be required to verify completion of all work in accordance with the contract requirements.

#### d. Testing

The vendor shall arrange that the system be tested, in the presence of Authority forces, upon completion of installation and certified to with an affidavit that they system is properly installed and operating.

#### e. Penalties:

Delayed Warranty Start - The start date for each vehicle and component warranty will be the Authority's in-service date. Delayed warranty forms are to be provided with the required motor vehicle paperwork.

#### f. In Process Control:

Upon issuance, the contractor shall furnish the Authority copies of their orders to the truck automotive and suppliers of any other equipment and/or accessories required. Also, the contractor shall furnish the Authority copies of each acknowledgment.

#### g. Pilot Model Inspection:

A Pilot Model Inspection is required. Prior to completion of all trucks ordered, a complete Pilot Model Inspection of one or more Cab & Chassis configurations may be required.

#### h. Post Delivery Inspection:

After trucks have been delivered to the location as stated on the Purchase Order, a Post Delivery Inspection will be performed. The report written at the pre-delivery inspection will be used to verify that any deficiencies have been corrected. If any deficiencies remain, it is the responsibility of the contractor to rectify them.

Arrangement for Pilot Model and Pre-Delivery Inspections can be made by contacting the contact listed in Section 1.3 above. These inspections shall take place inside a building and on a dry vehicle at the manufacturer's facility or contractor's place of business, as agreed to by the Authority.

#### i. Post-Production Inspection (Pre-Delivery Inspection):

After the work is done and before delivery of the finished product, the Authority's Motor Equipment Maintenance Supervisor II will visit the contractor's plant to inspect the finished product and ensure that all requirements of this Article II - Detailed Specification were in fact met. To schedule a post-production inspection, the contractor shall call the contact listed in Section 1.3 above.

### j. Warranty:

The contractor of the designated local servicing dealer will be responsible for all warranty claims; this includes all components and subassemblies as provided by the manufacturer at the time of delivery.

All components supplied by the contractor shall be included and covered by the basic vehicle warranty. This shall include but not be limited to the following: engines, transmissions, transfer cases, rear drive axles, front drive axles, and steering components. OEM replacements parts are to be new, not remanufactured, unless the Authority has granted prior approval. The start date for all vehicle and component forms are to be provided with the required motor vehicle paperwork.

#### k. Special Paint:

The Authority intends to purchase equipment with a 100% lead-free coating system (including primers, sub-coats, topcoats and clear coats). All surfaces that are normally painted shall be suitable painted with at least two coats of the Thruway fleet color, which is DuPont Centari Acrylic Auto Enamel YELLOW 224AK (100% lead free), or equal.

If this paint is not offered, the Bidder shall submit samples of similar lead-free yellow for selection and approval.

At time of delivery, the equipment will be tested for the presence of lead. Any evidence of lead may provide cause for rejection of the delivered product. Such rejection will be sufficient cause for the purchase of a substitute product at the Contractor's expense.

The contractor is responsible for the finish painting (on all surfaces normally painted) of any accessory component(s) required for installing by the contractor on the equipment and must be an exact match of the paint used on the equipment.

#### Section 2.6 - Delivery

The items specified will be delivered and installed at the following location:

Delivery address: NY State Police

Troop T 1311 Rte 300

Newburgh NY 12550

Deliveries are accepted from 8:00 a.m. to 12:00 p.m. and from 12:30 p.m. to 3:00 p.m. daily, except Saturday, Sunday and holidays. The awarded Bidder is required to give (24, 48-hour) prior notice of delivery.

#### Section 2.7 – Packaging (Intentionally Omitted)

#### Section 2.8 – Training (Intentionally Omitted)

#### Section 2.9 - Submittals

The bidder shall submit with its bid detailed specifications, circulars and all necessary data on the product to be furnished. If the product offered differs from the provisions listed, such differences must be explained in detail. Failure to submit any of the above data may result in rejection of the bid. The Authority, however, reserves the right to request any additional information deemed necessary for the proper evaluation of bids.

#### Product Data:

- System Data Package: Catalog sheets, specifications, illustrations, wiring diagram, and installation instructions for each system.

#### **Quality Control Submittals:**

- Inspection and Test Procedures: Submit manufacturer's written inspection and test procedures
  required for annual and interim inspections. Include interim inspection schedule, and inspection report
  forms required to complete the above inspections. Interim and annual inspections and tests are not in
  contract (N.I.C.) and shall be the responsibility of Authority Personnel.
- Certificates: The bidder shall arrange that the system be tested, in the presence of Authority forces, upon completion of installation and certified to with an affidavit that they system is properly installed and operating.

## **ARTICLE III - Contract Specific**

## Section 3.1 – Quantity

The quantities listed in this IFB are as stated.

#### Section 3.2 - Price

Price shall be net FOB delivered destination; freight prepaid. Price FOB plant shall also be indicated and shall include loading into trucks.

Discount as stated by the bidder in Attachment 4 – Bid Submission Package will not be taken unless payment is made within 30 days.

### Section 3.3 - Price Adjustments

Prices shall remain firm for the life of the contract.

#### Section 3.4 – Contract Term

The Contract period shall be prompt delivery.

#### Section 3.5 – Method of Award

The award will be made by Total Bid to the lowest responsive and responsible bidder. To be considered for an award, the Bidder must submit complete pricing for all Items, including any sub-Items within an Item.

A discount for payment in 30 days will not be considered in determining the low bidder but will be considered in deciding tie bids. A discount of less than 1% will not be considered.

#### **Section 3.6 – Additional Procurement Rights**

By submission of a bid, the Bidder acknowledges and agrees that the Authority reserves the right to:

- 1. Accept or reject any or all bids received in response to this IFB or withdraw any tentative awards made as a result of this Solicitation.
- 2. At any time, amend IFB specifications to correct errors or oversights, and to supply additional information as it becomes available. All bidders should monitor the NYS Contract Reporter and/or the Authority website for any amendments, clarifications or additional information issued, if applicable.
- 3. Change any of the scheduled dates stated herein as noted above in section 1.2.

- 4. Disqualify bids that fail to meet mandatory requirements.
- 5. Request any non-mandatory documents from Bidder.
- 6. Amend, modify, or withdraw this solicitation at any time and without notice or liability to any Bidder or other parties for expenses incurred in preparations of a bid.
- 7. Make an award under the IFB in whole, or in part, to one Bidder or multiple Bidders.
- 8. Use information obtained through site visits, management interviews and the Authority's investigation of a bidder's qualifications, experience, ability or financial standing, and any material or information submitted by the Bidder in response to the Authority's request for clarifying information in the course of evaluation and/or selection under this IFB.
- 9. Prior to the opening of the IFB, direct bidders to submit modifications to bids based on IFB amendments.
- 10. Clarify IFB requests/components at any time in the best interest of the Authority.
- 11. Eliminate any mandatory, non-material specifications that cannot be complied with by all of the prospective bidders.
- 12. Waive any requirements that are not material.
- 13. Reject any bids where the Authority finds that the Bidder is non-responsible under State Finance Law §§ 139-j or 139-k or another State agency or authority has found the Bidder non-responsible under State Finance Law §§ 139-j or 139-k within the prior four (4) years.
- 14. Require clarification at any time during the procurement process and/or require correction of any arithmetic or other apparent errors for the purpose of assuring a full and complete understanding of a Bidder's bid and/or to determine a Bidders' compliance with the requirements of the IFB.
- 15. Waive informalities and excuse minor irregularities contained in bid submissions. This waiver shall in no way modify the IFB or excuse a Bidder that enters into an Agreement with the Authority from full compliance with the IFB.
- 16. Request that Bidders clarify elements in their bids and submit revised bids that incorporate such clarifications, if necessary.
- 17. Negotiate Agreement terms with the Bidder(s) that best serve the interests of the Authority, consistent with IFB requirements, statutory requirements, and Authority policies and procedures.
- 18. Conduct contract negotiations with the next responsible bidder, should the Authority be unsuccessful in negotiating with the selected Bidder(s)/tentative awardee(s).
- 19. Request Best and Final Offers (BAFOs) from all Bidders that are determined to be eligible for Contract award.
- 20. Utilize any and all ideas submitted in the bids received.
- 21. Unless otherwise specified in the solicitation, every offer is firm and irrevocable for a period of 90 days from the bid opening.
- 22. Contact any clients on the Bidder's client list and/or references furnished as part of the bid, with the understanding that the Authority will keep such contacts confidential.

23. Utilize any internal knowledge about the Bidder obtained from prior performance under Authority contracts.

## **Section 3.7 – Liquidated Damages (Intentionally Omitted)**

## Section 3.8 – Payment

Payment will be made upon submittal by the Contractor of a properly executed voucher or a vendor invoice with one copy, provided all terms of the contract have been fulfilled to the requirements of the purchase order. All payment documents must include your Federal Tax Identification Number.

If, for any reason, a question of non-performance arises at any time during the contract period, payment in whole or in part may be withheld, against which to charge back any adjustment required.

## **Section 3.9 – Electronic Payment**

Contractor understands and agrees that payments for invoices submitted will only be rendered electronically unless payment by paper check is expressly authorized by the Authority, in its sole discretion, due to extenuating circumstances. Contractor shall comply with the Authority's procedures to authorize electronic forms available the Authority's Authorization are at website at http://www.thruway.nv.gov/business/purchasing/epayments/index.html. bv email at suppliermgmt@thruway.ny.gov, or by telephone at (518) 436-2859. Contractor acknowledges that it will not receive payment on any invoices submitted under this Contract if it does not comply with the Authority's electronic payment procedures, except where the Authority has expressly authorized payment by paper check as set forth above

#### **ARTICLE IV – Standard Clauses**

#### Section 4.1 - Insurance

- A. The Contractor must procure prior to commencement of work under the Contract, and maintain until the Contract is completed and the Authority has accepted all work performed thereunder, insurance of the kinds and in the amounts specified herein, covering all services and operations under the Contract, whether performed by the Contractor or its subcontractors, in accordance with the following conditions:
  - 1) All insurance required by the Contract shall be obtained at the sole cost and expense of the Contractor.
  - 2) All insurance required by the Contract shall be maintained with insurance carriers licensed to do business in New York State, and acceptable to the Authority, with an A.M. Best rating of "A-" or better. The Authority may, at its sole discretion, accept policies of insurance written by a non-authorized carrier or carriers when certificates and/or other policy documentation are accompanied by a completed Excess Lines Association of New York (ELANY) Affidavit. Notwithstanding the foregoing, nothing herein shall be construed to require the Authority to accept insurance placed with a non-authorized carrier under any circumstances.
  - 3) All insurance required by the Contract shall be primary to any Authority insurance policy or Authority self-insurance program, which shall be excess and non-contributory.
  - 4) The Contractor shall require that any approved subcontractors carry insurance with the same limits and provisions set forth herein.
  - 5) The Contractor shall furnish the Authority with Certificate(s) of Insurance on ACORD Form 25, accompanied by the Authority Supplemental Insurance Certificate (EXHIBIT 2 TA-W51343

(2017/11), for each insurance carrier involved. Such Certificate(s) shall be executed by a duly authorized representative of the insurance carrier, certifying such authorization and showing compliance with the Authority's insurance requirements set forth herein. The Contractor shall furnish the Authority with a copy of each Endorsement required herein. For work to be performed within New York State, proof of Workers' Compensation and Disability Benefits Insurance shall be indicated on the appropriate Workers' Compensation Board form; generally C-105.2 for Workers Compensation and DB-120.1 for NYS Disability Benefits.

- 6) All policies, by specific Endorsement, shall provide for written notice to the Authority no less than thirty (30) days prior to the cancellation, nonrenewal, or material alteration of any insurance policies referred to therein. Any such notice shall be sent by certified mail to the contact listed for this Invitation for Bid.
- 7) If insurance policies utilized for Authority projects contain Deductibles or Self-Insured Retentions (SIRs), they must be declared as such with applicable levels on the Certificate(s) of Insurance and the Authority Supplemental Insurance Certificate. Insurance policies with Deductibles in excess of \$100,000 will require review and approval by the Authority. Additional security or other requirements may be imposed at the sole discretion of the Authority.
- 8) Insurance policies with Self-Insured Retentions (SIRs) must receive prior approval by the Authority. All applications for SIR approval must be submitted to the Authority's Office of Investments and Asset Management, indicate whether the program is administered by a third party and contain a complete description of the program. SIR programs in excess of \$100,000 must be administered by a third-party administrator and must also meet additional security requirements. The Authority at its sole discretion reserves the right to require the Contractor to provide additional collateral or to reject the use of an SIR by the Contractor. The Contractor will be solely responsible for all claims, expenses and loss payments within the retention limit.
- 9) The Contractor shall provide certified copies of all declarations pages or of the insurance policies themselves, upon request by the Authority, within twenty (20) days of such request.
- 10) Failure of the Authority to demand such certificates, policies, endorsements, or other evidence of full compliance with the Authority's insurance requirements, or failure of the Authority to identify a deficiency from evidence that is provided, shall not constitute or be construed as a waiver of the Contractor's obligation to maintain such insurance.
- 11) Failure to maintain the required insurance, and failure to provide proof of such coverage to the Authority at its request, may, in the Authority's sole discretion, result in termination of the Contract, or in delay or stoppage of payments.
- 12) At least two weeks prior to the expiration of any policy required by this Agreement, evidence of renewal or replacement policies of insurance with terms at least as favorable to the Authority as the required minimum amounts set forth herein. must be furnished to the Authority.
- 13) By requiring insurance, the Authority does not represent that certain coverages and limits will necessarily be adequate to protect the Contractor, and such coverages and limits shall not be deemed a limitation on the Contractor's liability under the indemnities granted to the Authority under any provision of the Contract.
- 14) The Contractor and its subcontractors shall waive all rights against the State of New York, the Authority, and their respective agents, officers, directors and employees, for recovery of damages to the extent these damages are covered by the CGL policy, Business Auto Policy, and Umbrella policy, as required.

- 15) The Contractor shall provide a copy of the Authority's Insurance Requirements to its insurance producer(s) and insurance carrier(s).
- B. The specific types and amounts of insurance that the Contractor must provide pursuant to the Contract are as follows:
  - 1) Workers' Compensation & NYS Disability Benefits Insurance

The Contract shall be void and of no force and effect unless the Contractor shall provide and maintain coverage during the life of the Contract for the benefit of such employees as are required to be covered by the Workers' Compensation/Disability Benefits Law. If the Contract involves work on or near a shoreline, a U.S. Longshore and Harborworkers' Compensation Act Endorsement must be provided. The Maritime Coverage Endorsement, on an "if any" basis, shall be attached to the policy. The Contractor must provide proof of exemption, certified by the Workers' Compensation Board, to obtain a waiver from the requirements of this provision.

Evidence of Workers' Compensation coverage must be provided on one of the following forms specified by the Commissioner of the Workers' Compensation Board:

- 1. C-105.2 Certificate of Workers' Compensation Insurance;
- 2. U-26.3 Certificate of Workers' Compensation Insurance from the State Insurance Fund; or
- 3. GSI-105/SI-12 Certificate of Workers' Compensation Self Insurance.
- 4. CE-200 Certificate of Attestation of Exemption

Evidence of Disability Benefits coverage must be provided on one of the following forms specified by the Commissioner of the Workers' Compensation Board:

- 1. DB-120.1 Certificate of Insurance Coverage under the NYS Disability Benefits Law;
- 2. DB-155 Certificate of Disability Self Insurance; or
- 3. CE-200 Certificate of Attestation of Exemption.

Disability benefits coverage must also include a rider providing Paid Family Leave insurance in form and substance satisfactory to the Authority. Evidence of coverage shall be provided to the Authority and may be in the form of a Notice of Compliance provided by your insurance carrier stating that you have Paid Family Leave insurance. The Notice will include information about your carrier. If you are self-insured, you can get this notice by contacting the NYS Workers' Compensation Board at certificates@wcb.ny.gov.

2) <u>Commercial General Liability Insurance</u> - The Contractor shall maintain Commercial General Liability (CGL), with no less than the following limits and coverages:

Each Occurrence Limit: \$2,000,000

General Aggregate: \$ 2,000,000

Products/Completed Operations Aggregate: \$2,000,000

Fire Damage Legal Liability: \$ 100,000

Medical Expense: \$5,000

CGL Insurance shall cover liability arising from premises, operations, independent contractors, products/completed operations, personal injury, advertising injury and contractual liability.

The General Aggregate shall apply separately to the subject matter (Project) of the Contract, and the Contractor shall provide an appropriate Project Endorsement, using ISO Form CG 25 03 11 85 or its equivalent, to the Authority for this purpose.

#### Section 4.2 – Unanticipated and Emergency Requirements

This contract is intended to cover the Authority's normal anticipated requirements. However, the Authority also reserves the right to cover any unusually large or unanticipated or urgent requirements through separate bidding.

## Section 4.3 – Safety

Equipment, materials and any work performed shall meet all applicable safety regulations, codes and laws including OSHA, EPA, Coast Guard and Underwriter's Laboratories guidelines.

**Section 4.4 – Work Schedules (Intentionally Omitted)** 

**Section 4.5 – Operation (Intentionally Omitted)** 

**Section 4.6 – Licensing Forms (Intentionally Omitted)** 

Section 4.7 – Work Permits (Intentionally Omitted)

Section 4.8 – Labor and Wage Requirements (Intentionally Omitted)

Section 4.9 – Copyright (Intentionally Omitted)

#### **Section 4.10 – Technical Information**

The Authority maintains repair and overhaul facilities with replacement parts stocked to service the equipment it owns and operates. Therefore, all procurements under this contract shall include the same technical documentation as is available to the manufacturer's dealers and repair centers. Such information shall include, but not be limited to, the following:

- Operating instructions
- Routine maintenance instructions
- Shop repair manual(s)
- Complete parts list(s)
- Recommended spare parts list
- Manufacturers service bulletins
- Schematic drawings

## Section 4.11 – Thruway Travel

Toll-free use of the Thruway will not be granted. The bid must include all costs of travel to the job site for performance of this contract, if applicable.

Section 4.12 – Toxic and Hazardous Substances (Intentionally Omitted)

Section 4.13 – Steel Sourcing (Intentionally Omitted)

#### **Section 4.14 – Compliance Requirements and Procedures**

It is the policy of the Authority to comply with the provisions of Article 15-A of the New York State Executive Law, which requires that every contract over \$25,000 will afford equality of economic opportunities for minority group members and women, the facilitation of participation by Minority and/or Women-Owned Business Enterprises ("MWBEs"). The Authority shall establish separate goals for participation of MWBEs on all Authority contracts where applicable.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Business Enterprises ("SDVOBs"); thereby further integrating such businesses into New York State's economy. The Authority recognizes the need to promote the employment of service-disabled veterans and to ensure that certified SDVOBs have opportunities for maximum feasible participation in the performance of Authority contracts.

The Authority is further, committed to providing equal training and employment opportunities to minorities and women to participate in the Authority's contracting and procurement processes, and by ensuring nondiscrimination in accordance with Appendix A-Standard Clauses for New York State Thruway Authority Contracts including Clause 4 – Non-Discrimination Requirements, Clause 11 - Equal Employment Opportunities for Minorities and Women, Executive Order 11246, Executive Order 177, Training Special Provisions and/or all applicable, federal, State, laws, rules, regulations and Executive Orders

#### General Provisions

- a. The Bidder/Contractor and/or all subcontractors, shall comply with the applicable laws, rules, regulations and provisions governed by the contract, in addition to any nondiscrimination or diversity practices and provision of the contract at no additional cost to Authority.
- b. These provisions and requirements shall be included in all subcontracting contracts so that these requirements and provisions shall be binding upon all subcontractors, performing work under this contract.
- c. The Contractor/Consultant represents and warrants that, as a condition for award of the Contract, the Contractor/Consultant has submitted a Statewide Utilization Management Plan ("SUMP") via the NYS Contract System (NYSCS) if required by Authority, which lists all proposed Subcontractors/ subconsultants including an identification of the NYS certified DBE/ MWBE/ SDVOB subcontractors/ subconsultants/ suppliers the Contractor/Consultant intends to use to perform the Work of the Contract and to achieve the DBE/MWBE/SDVOB Contract Goals established in the Contract Documents. In addition, or alternatively, Contractor/Consultant may have submitted a request for a waiver. Prior to award of the Contract, the Authority approved Contractor's/Consultant's plan to achieve the DBE/MWBE/SDVOB Contract Goals established in the Contract Documents (DBE/MWBE/SDVOB Utilization Plan) to the extent the Authority did not approve Contractor's/Consultant's request for a waiver of part or all of the DBE/MWBE/SDVOB Contract Goals. The Authority approval of the DBE/MWBE/SDVOB Utilization Plan approves a Subcontractor/Subconsultant only for the purpose of the DBE/MWBE/SDVOB Utilization Plan.

# Section 4.15 – Participation Opportunities for New York State Certified Minority/Women/Service-Disabled Veteran-Owned Business Enterprises

In accordance with Article 15-A of the New York State Executive Law and Article 3 of the Veterans' Services Law, the Authority is committed to providing meaningful participation in public procurement by certified Minority and/or Women-Owned Business Enterprises ("MWBEs") and certified Service-Disabled Veteran-Owned Business Enterprises ("SDVOBs"), thereby further integrating such businesses into New York State's economy.

The Authority recognizes the need to promote participation and inclusion of Minority and Women-Owned Business Enterprises and Service-Disabled Veteran-Owned Business Enterprises and to ensure that certified MWBEs and SDVOBs have opportunities for maximum feasible participation in the performance of Authority contracts.

In recognition of the service and sacrifices made by service-disabled veterans and in recognition of their economic activity in doing business in New York State, Bidders/Contractors are strongly encouraged and expected to consider certified SDVOBs in the fulfillment of the requirements of the contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles.

For the purposes of this procurement, the Authority has determined that this solicitation does not meet the requirements for the establishment of participation goals or provide for MWBE and SDVOB subcontracting opportunities for participation as subcontractors, service providers, and suppliers to Contractor.

Nevertheless, MWBEs and SDVOBs are strongly encouraged to submit bids for this procurement. The successful Bidder/Contractor is encouraged to make every good faith effort to promote and assist in facilitating the participation of Minority/Women-Owned and Service-Disabled Veteran-Owned Business Enterprises on the contract for the provision of services and materials by searching the respective websites or visiting:

The directory of New York State Certified MWBEs at: <a href="https://ny.newnycontracts.com">https://ny.newnycontracts.com</a>

The directory of New York State Certified SDVOBs at: <a href="https://ogs.ny.gov/veterans/">https://ogs.ny.gov/veterans/</a>

Bidders/Contractors are encouraged to contact the Authority's Bureau of Compliance at compliance@thruway.ny.gov.

## Section 4.16 – Equal Employment Opportunity and Removal of Institutional Policies or Practices That Fail to Address the Harassment and Discrimination of Individuals

Bidder/Contractor agrees to comply with all Compliance Requirements and Procedures, in accordance with the terms and conditions of Appendix A – Standard Clauses for New York State Thruway Authority Contracts including Clause 4 – Non-Discrimination Requirements and Clause 11 - Equal Employment Opportunities for Minorities and Women.

Equal Employment Opportunities for minority group members and women ("EEO") and related provisions shall be deemed supplementary to, and not in lieu of, the nondiscrimination provisions required by New York State Executive Law Article 15 (the "Human Rights Law") and other applicable federal, state, and local laws.

In the performance of this procurement/contract, Bidder/Contractor shall demonstrate compliance which the Work Force Diversity Requirements and Procedures Regarding Equal Employment Opportunities for Minority Group Members and Women, pursuant to 5 NYCRR § 143, Executive Order 162, Executive Order 177, and all other applicable federal, state and local laws, rules and regulations.

Contractor will be required to submit its written policies and procedures concerning harassment and discrimination to the Authority's Bureau of Compliance prior to commencement of work under this Agreement.

During the performance of this contract, the Bidder/Contractor agrees to comply with the Equal Employment Opportunity (EEO) requirements specified herein.

Definitions - As used in these requirements, the following definitions will apply:

- (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
- (ii) Hispanic (a person of Mexican, Puerto Rican, Dominican, Cuban, Central or South American descent of either Indian or Hispanic origin, regardless of race;

- (iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast, Asia, the Indian Subcontinent, or the Pacific Islands); and
- (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification. Identification may be made by any suitable authority in the community such as an educational institution, religious organization, or a state agency).

#### a. Non-Discrimination Clause

The Bidder/Contractor will ensure equal employment opportunity by not discriminating against any applicant for employment because of race, color, religion, sex, national origin, age, disability, or marital status, regarding, (among other things) the following: upgrading, demotion, transfer, recruitment, recruitment advertising, layoff, termination, rates of pay or other forms of compensation and selection for training, including apprenticeship.

## b. Availability Of Contractor's Records

The Bidder/Contractor will furnish all information and reports as may be required by the Authority or by rules, regulations and orders incorporated herein by the Authority and will permit access to its books, records and accounts by the Authority's Bureau of Compliance for purposes of monitoring and investigating compliance with these requirements and such rules, regulations, orders, procedures and quidelines.

#### c. Enforcement

In order to determine whether the Bidder/Contractor has complied with the requirements, the Authority may proceed by order to show cause, compliance conference, hearing or any other lawful procedure upon due notice in writing to the Contractor. In the event the Authority finds that the Bidder/Contractor has failed to comply with these requirements, this contract may be canceled, terminated, or suspended in whole or in part or Liquidated Damages may be imposed in accordance with the procedures authorized in Section 312 of Executive Law 15-A, provisions of the contract, relevant laws and statutes as deemed appropriate by the Authority, at no cost or liability to the Authority.

In accordance with EO 177 entitled "Prohibiting State Contracts with Entities that Support Discrimination", provisions of the contract, the Bidder/Contractor may be declared ineligible for further New York State government contract and such other sanctions may be imposed and remedies invoked as deemed appropriate by the Authority by rule, regulation, or order of the Authority, or as otherwise provided by law.

#### d. <u>Contractor's Responsibility Regarding Collective Bargaining Agreement</u>

Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Bidder/Contractor has a collective bargaining agreement to refer either minorities or women shall excuse the Contractor's obligations under these requirements, any rules, regulations, procedures and guidelines promulgated or established pursuant to Executive Order 177.

#### e. Applicability To Subcontract

As per Section 312 of Executive Law 15-A the Bidder/Contractor will physically include and incorporate this document, Equal Employment Opportunity Requirements, as part of every subcontract or purchase order unless exempted by rules, regulations, or orders of the Director, pursuant to the Executive Order 8, and such requirements shall be binding upon each subcontractor, service provider, or vendor. The Bidder/Contractor will take such action with respect to any subcontract or purchase order as the Authority may direct as a means of enforcing such provisions, including sanctions for noncompliance; provided, however, that in the event the Bidder/Contractor becomes involved in or is threatened with litigation with

a subcontractor or vendor as a result of any provision or direction issued pursuant to these requirements or by the Authority, the Bidder/Contractor may request the Authority/State of New York to enter into such litigation or dispute to protect the interests of the State of New York.

## f. Equal Employment Opportunity Officer

The Bidder/Contractor will designate and make known to the Bureau of Compliance who will have the responsibility for and must be capable of effectively administering and promoting an active Bidder/Contractor program of equal employment opportunity and who must be assigned adequate authority and responsibility to do so.

## g. Complaints Of Alleged Discrimination/Sexual Harassment

The Bidder/Contractor will promptly investigate all complaints of alleged discrimination/sexual harassment made to the Bidder/Contractor in connection with his/her obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination/sexual harassment may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the Bidder/Contractor will inform every complainant of all of his or her avenues of appeal, including the New York State Division of Human Rights and Equal Employment Opportunity Commission.

The Bidder/Contractor shall inform the Bureau of Compliance (within 24 hours) in writing of any formal or informal, complaint, incident or any issue of discrimination/sexual harassment. Results of investigation must be submitted to the Bureau of Compliance within ten (10) days of the complaint.

#### h. Required Records

Pursuant to Executive Order 162, if awarded a Contract, Bidder/Contractor shall submit, to the Authority, a Quarterly Workforce Utilization/Gross Wages Reports for their firm and all of their Subcontractors.

The (Quarterly) EO 162 Workforce Utilization/Gross Wages Reporting are located on the Thruway website at: http://www.thruway.ny.gov/business/purchasing/index.html .

Workforce Utilization/Gross Wages Reports are required to be electronically submitted (Quarterly) to WorkforceUtilizationReportProcurement@newnybridge.com

#### i. Nondiscrimination

The Bidder/Contractor shall comply with the provisions of the Human Rights Law, and all other State and Federal statutory and constitutional non-discrimination provisions. The Bidder/Contractor and its subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest.

Questions regarding compliance with Workforce Utilization/Gross Wages Reporting should be directed to the Authority's Bureau of Compliance at compliance@thruway.ny.gov.

#### ARTICLE V - General Information

#### Section 5.1 – Liability

The Contractor shall be responsible for all damage to life and property due to negligent or otherwise tortious acts, errors or omissions of the Contractor in connection with its services under the Contract. Further, it is expressly understood that the Contractor shall indemnify and save harmless the Authority and/or the State of New York, as their interests may appear, from claims, suits, actions, damages and costs of every name and description resulting from the negligent performance of the services of the Contractor or the quality of goods provided under the Contract, and such indemnity shall not be limited by reason of enumeration of any insurance coverage herein provided. However, the Contractor shall not be required to indemnify the Authority for that portion of any claim, suit, action, damage or cost which arises due to the negligent act or omission of the Authority and shall not be required to indemnify the State of New York for that portion of any claim, suit, action, damage or cost which arises due to the negligent act or omission of the State. The provisions of this section shall survive the expiration or termination of the Contract.

## **Section 5.2 – Independent Contractor**

The Contractor is and shall be, in all respects, an independent contractor in performing services pursuant to the Contract. In accordance with its status as an independent contractor, the Contractor shall covenant and agree that neither it nor its agents and/or employees will hold itself or themselves out as or claim to be an officer or employee of the Authority, and that neither the Contractor nor its agents and employees shall make any claim, demand or application to or for any right or privilege applicable to an officer or employee of the Authority, including, but not limited to Workers' Compensation coverage, Unemployment Insurance benefits, Social Security coverage or Retirement System membership or credit.

#### Section 5.3 – Supplemental Agreements

Other agencies, authorities or entities of New York State government may use this contract by entering into a supplemental agreement with the Contractor at his/her option.

The supplemental agreement shall not alter, delete or in any other manner change the terms and conditions of this contract and must have the express written consent of the Authority's Director of Purchasing.

### Section 5.4 - General Specifications and Appendix A

The General Specifications and Appendix A are attached and apply to all Authority contracts.

#### Section 5.5 – Bidder Responsibility

The Authority policy provides for the award of contract to the lowest responsible and reliable bidder as will best promote the Authority's interest. The Authority may examine the conduct of potential Contractors and Subcontractors with respect to their past performance, financial standing, labor practices, ownership and affiliation and their compliance with relevant state and federal regulations. The Authority will give due consideration in the making of an award to any evidence or reliable information that the past or current record indicates, in the opinion of the Authority, a lack of responsibility of the bidder or proposed Subcontractor.

#### Vendor Responsibility Questionnaire:

All contracts exceeding \$100,000 require the bidder to complete the "NYS Vendor Responsibility Questionnaire". However, the Authority may require any bidder to complete the Questionnaire upon request.

The Authority recommends that vendors file the required Vendor Responsibility Questionnaire online via the New York State VendRep System and only provide a copy of the certification page to the Authority. To enroll in and use the New York State VendRep System, see the VendRep System Instructions available at <a href="http://www.osc.state.ny.us/vendrep/enroll.htm">http://www.osc.state.ny.us/vendrep/enroll.htm</a> or go directly to the VendRep System online at: <a href="https://onlineservices.osc.state.ny.us/Enrollment/login?0">https://onlineservices.osc.state.ny.us/Enrollment/login?0</a>.

Vendors must provide their New York State Vendor Identification Number when enrolling. To request assignment of a Vendor ID or for VendRep System assistance, contact the Office of the State Comptroller's IT Service Desk at 866-370-4672 or 518-408-4672 or by email at ITServiceDesk@osc.state.ny.us. Vendors opting to file a PDF questionnaire can obtain it from the VendRep website <a href="http://www.osc.state.ny.us/vendrep/forms\_vendor.htm">http://www.osc.state.ny.us/vendrep/forms\_vendor.htm</a> or the Office of the State Comptroller's Help Desk.

If required, prior to making an award the Authority will send an email to the apparent low bidder(s) with instructions to complete a Vendor Responsibility Questionnaire. The email will also instruct the vendor to advise the Authority within five (5) business days from receipt of their intention to either file online or submit a PDF copy.

#### Section 5.6 - New York State Tax Law

Pursuant to Section 5-a of the New York State Tax Law a contractor must file form ST-220-CA "Contractor Certification to Covered Agency" with the Authority and form ST-220-TD with the Tax Department before a contract with a value exceeding of \$100,000 may take effect. For detailed information regarding this law or to view these forms visit the Tax web site at <a href="http://www.tax.ny.gov">http://www.tax.ny.gov</a> or contact the Tax Department at 1-800-698-2931 for additional clarification.

Prior to making an award, the Authority will send form ST-220-CA to the apparent low bidder(s) to complete and return within five (5) business days from receipt.

#### Section 5.7 – Debriefing

Debriefings provide an opportunity for a bidder to discuss with the Authority the reasons why a bid was not selected for a contract award. All bidders will be sent a Notice of Contract Award (Tentative) which indicates the intended awardee(s).

A request for a debriefing must be made within 15 calendar days from the date of the Notice of Contract Award (Tentative). The debriefing must be limited to review of that bidder's offering. To request a debriefing, notify the designated contact specified in Section 1.3 above.

## **Section 5.8 – Grievance Policy**

Any question or grievance regarding the Authority's procurement practices should first be addressed to the Chief Procurement Officer. Your concerns will be given careful consideration with every effort made to resolve the matter. A copy of the Authority's "Vendor Protest" procedure (TAP-523) can be obtained online at <a href="http://www.thruway.ny.gov/business/purchasing/vendor-protest.html">http://www.thruway.ny.gov/business/purchasing/vendor-protest.html</a> or by contacting the Director of Purchasing at P.O. Box 189, Albany, New York 12201 0189, Attn: Vendor Protest.

## Section 5.9 - Business Permits

The NYS Governor's Office of Regulatory Reform serves as a permit assistance resource for information on state permits and licenses. For information about state permits and licenses needed for any business venture, you may call 1-800-342-3464 or 518-474-8275 or visit their web site at www.nys-permits.org.

#### Section 5.10 - New York State Finance Law 139-J and 139-K Certifications

By submission of this bid, the bidder certifies that all information bidder has provided to the Authority with respect to State Finance Law 139-j and 139-k is complete, true and accurate.

- a. NYS Finance Law 139-j and 139-k Disclosure of Prior Non-Responsibility Determinations (form TA-W3053) (Attachment 1):
  - NYS Finance Law 139-k requires that bidders disclose findings of non-responsibility made within the previous four years by any governmental entity where such prior finding of non-responsibility was due to a violation of NYS Finance Law 139-j or the intentional provision of false or incomplete information to a governmental entity.
- b. Certificate of Compliance with the Authority Guidelines Regarding Permissible Contacts During a Procurement and the Prohibition of Inappropriate Lobbying Influence (form TA-W2111) (Attachment 2):

NYS Finance Law 139-j requires that bidders certify that they have read, understand and agree to comply with the Authority policy Regarding Permissible Contacts During a Procurement and the Prohibition of Inappropriate Lobbying Influence.

#### Section 5.11 - Iran Divestment Certification

By submission of this bid, each person and each person signing on behalf of any other party certifies, and in the case of a joint bid or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief, that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law.

## Section 5.12 - New York State Finance Law §139-I

By submission of this bid proposal, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of section two hundred one-g of the labor law.

## Section 5.13 – New York State Human Rights Law, Article 15 of the Executive Law

The New York State Human Rights Law, Article 15 of the Executive Law, prohibits discrimination and harassment based on age, race, creed, color, national origin, sex, pregnancy or pregnancy-related conditions, sexual orientation, gender identity, disability, marital status, familial status, domestic violence victim status, prior arrest or conviction record, military status or predisposing genetic characteristics.

The Human rights Law may also require reasonable accommodation for persons with disabilities and pregnancy-related conditions. A reasonable accommodation is an adjustment to a job or work environment that enables a person with a disability to perform the essential functions of a job in a reasonable manner. The Human Rights Law may also require reasonable accommodation in employment on the basis of Sabbath observance or religious practices.

Generally, the Human Rights Law applies to:

- all employers of four or more people, employment agencies, labor organizations and apprenticeship training programs in all instances of discrimination or harassment;
- employers with fewer than four employees in all cases involving sexual harassment; and,

 any employer of domestic workers in cases involving sexual harassment or harassment based on gender, race, religion or national origin.

In accordance with Executive Order No. 177, by submission of this bid proposal, the bidder hereby certifies that it does not have institutional policies or practices that fail to address the harassment and discrimination of individuals on the basis of their age, race, creed, color, national origin, sex, sexual orientation, gender identity, disability, marital status, military status, or other protected status under the Human Rights Law.

Executive Order No. 177 and the aforementioned certification do not affect institutional policies or practices that are protected by existing law, including but not limited to the First Amendment of the United States Constitution, Article 1, Section 3 of the New York State Constitution, and Section 296(11) of the New York State Human Rights Law.

#### **Section 5.14 – Termination of Contract**

The Authority has a right to terminate the contract in the event the Authority finds the certifications made by the bidder in accordance with NYS Finance Law 139-j and 139-k were intentionally false or intentionally incomplete. This also includes the Authority's right to terminate the contract at any time in the event the bidder is non-responsible or has failed to accurately disclose vendor responsibility information.

#### Section 5.15 – Cancellation for Convenience by the Authority

The Authority retains the right to cancel contract, in whole or in part, without reason provided that the Contractor is given at least sixty (60) days' notice of its intent to cancel. This provision should not be understood as waiving the Authority's right to terminate the contract for cause or stop work immediately for unsatisfactory work, but is supplementary to that provision. Any such cancellation shall have no effect on existing Authority agreements, which are subject to the same 60 day discretionary cancellation for cause.